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Attorney Docket Number:

04-08-102 02, At

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLICANTS:

Wiggins et al.

SERIAL NUMBER:

10/054,760

**EXAMINER:** 

Not Yet Assigned

FILING DATE:

November 13, 2001

ART UNIT:

Not Yet Assigned

FOR:

Microfluidics Apparatus and Methods for Use Thereof

April 5, 2002 Boston, Massachusetts

Commissioner for Patents Washington, D.C. 20231

## TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Information Disclosure Statement (2 pages), in duplicate:
- Modified Form 1449/PTO (1 page), in duplicate; 2.
- 3. Copies of cited references A1-A12, B1, and C1 and
- 4. Return Postcard.

No fee is believed to be due. However, if any fees are to be assessed, the Commissioner is hereby authorized to charge the balance due to the undersigned's account, Deposit Account No. 50-0311, Reference No. 24499-530 CIP. A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

Respectfully submitted,

Sonia K. Guterman, Esq. (Reg. No. 44,729)

Attorney for Applicant

MINTZ, LEVIN, COHN, FERRIS c/o

GLOVSKY AND POPEO, P.C.

One Financial Center

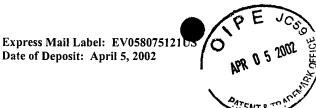
Boston, Massachusetts 02111

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TRA 1636342v1

PATENT TRADEMARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is required as set forth in 37 C.F.R. §1.97.

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached modified Form PTO-1449. It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed Form PTO-1449 to evidence that the cited information has been fully considered by the PATENT AND TRADEMARK OFFICE during the examination of this application.

Applicants: Wiggins et al. U.S.S.N.: 10/054,760

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311 (Reference No. 24499-530 CIP).

Respectfully submitted,

Sonia K. Guterman, Esq. (Reg. No. 44,729)

Attorney for the Applicant

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Application Number 10/054,760
Filing Date November 13, 2001

INFORMATION DISCLOSURE First Named Inventor Wiggins

STATEMENT BY APPLICANT
Group Art Unit
Not Yet Assigned
Examiner Name
Not Yet Assigned
Attorney Docket Number
24499-530 CIP

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	A1	5,653,939	08/05/97	Hollis et al			08/07/95
	A2	5,763,768	06/09/98	Henderson et al.			03/17/97
	А3	5,807,758	09/15/98	Lee et al.			07/21/95
	A4	5,923,421	07/13/99	Rajic et al		<u> </u>	07/24/97
	A5	5,992,226	11/30/99	Green et al.			05/08/98
	A6	6,016,686	01/25/00	Thundat			03/16/98
	A7	6,041,642	03/28/00	Duncan			06/04/98
	A8	6,050,722	04/18/00	Thundat et al.			03/25/98
	A9	6,054,277	04/25/00	Furcht et al.		<del> </del>	03/23/98
	A10	6,096,559	08/01/00	Thundat et al.			03/16/98
	A11	6,118,124	09/12/00	Thundat et al.			01/18/96
	A12	6,123,819	09/26/00	Peeters			03/19/98

				FOREIGN PATENT DOCUMENTS	CUMENTS		
Exam Initials	Cite No.	Foreign Patent Document Office Number		Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No	
	B1	wo	00/66266	Grey et al.	05/03/00		

	,	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Exam Initials	Cite Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.		
	C1	Thundat et al. (1997). Microscale Thermophysical Engineering 1: 185-199.	

\* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No.\_\_\_\_\_\_, filed \_\_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.